



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Technology Center 2100

OCT 10 2001

RECEIVED

#4

APPLICATION OF: Andreas Schade et al.
SERIAL NO. 09/834,494
FILED: April 13, 2001
FOR: Match-Making In Electronic Trading Systems

DOCKET NO. CH919990043
EXAMINER:
GROUP ART: 2161
DATED: October 2, 2001

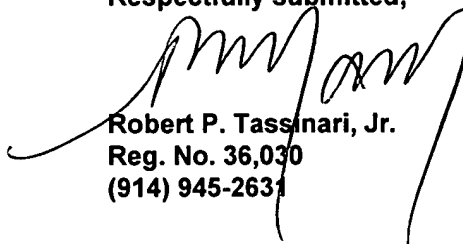
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In order to assist in the examination of this application, and in accordance and compliance with 37 CFR 1.56, 1.97 and 1.98, a copy of the documents listed on the attached form PTO-1449 are forwarded herewith.

Respectfully submitted,


Robert P. Tassinari, Jr.
Reg. No. 36,030
(914) 945-2631

IBM Corporation
Intellectual Property Law
P.O. Box 218
Yorktown Heights, New York 10598